

Basic Algebraic Geometry, Volume 1

Chapter 1, Section 4: Quasiprojective Varieties

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1. Closed Subsets of Projective Space

- (1) Let V be a vector space of dimension $n + 1$ over a field k . The set of one-dimensional subspaces of V is n -dimensional projective space, denoted $\mathbb{P}(V)$ or \mathbb{P}^n .
- (2) Definition of homogeneous coordinates
- (3) If $f \in k[S_0, \dots, S_n]$ vanishes at $\xi \in \mathbb{P}^n$ for all homogenous coordinates for ξ , then each homogeneous components vanish at ξ .
- (4) A set $X \subset \mathbb{P}^n$ is a *closed subset* if it consists of all points at which a finite number of polynomials with coefficients in k vanish. A closed subset defined by one homogeneous equation $F = 0$ is called a *hypersurface*. The degree of the polynomial is the *degree* of the hypersurface. A hypersurface of degree 2 is called a *quadric*.
- (5) The set of polynomials $f \in k[S_0, \dots, S_n]$ that vanish at all points $x \in X$ is the *ideal* of X , denoted $\mathfrak{A}_X \subset k[S_0, \dots, S_n]$. This ideal is *homogeneous* or *graded*. In particular, any closed projective set can be defined by a finite set of homogeneous equations.
- (6) Any homogeneous ideal $\mathfrak{A} \subset k[S_0, \dots, S_n]$ defines a closed subset $X \subset \mathbb{P}^n$.
- (7) The Grassmannian. $\text{Grass}(r, V)$ is the algebraic set consisting of all r -dimensional subspaces in V . Consider $\wedge^r V$ and send a basis $\{f_1, \dots, f_r\}$ for an r -plane to the element $f_1 \wedge \dots \wedge f_r$. Choosing another basis changes the image by a nonzero multiplicative constant, so the image of each r -plane in V determines a point in $\mathbb{P}(\wedge^r V)$. The coordinates of the image of an r -plane are the *Plücker coordinates* of the r -plane.
- (8) Let $u \in V^*$. For $x \in \wedge^1 V = V$ the *convolution* $u \lrcorner x$ is just $u(x)$. For $x \in \wedge^0 V = k$ we set $u \lrcorner x = 0$. For any $x \in \wedge^r V$ the convolution $u \lrcorner x = 0$ can be extended in a unique way from $x \in \wedge^1 V = V$ if we require the property

$$u \lrcorner (x \wedge y) = (u \lrcorner x) \wedge y + (-1)^a (x \wedge (u \lrcorner y)) \quad \text{for } x \in \bigwedge^a V.$$

Here, $u \lrcorner \wedge^r V \subset \wedge^{r-1} V$. The element $u \lrcorner x$ for $u \in V^*$ and $x \in \wedge^r V$ is called the *convolution* of u and x . Finally, for $u_1, \dots, u_s \in V^*$ the element $u_1 \lrcorner (u_2 \lrcorner \dots \lrcorner (u_s \lrcorner x) \dots)$ depends only on x and $y = u_1 \wedge \dots \wedge u_s \in \wedge^s V^*$, and is denoted by $y \lrcorner x$. Here $y \lrcorner x \in \wedge^{r-s} V$ if $r \geq s$ and $y \lrcorner x = 0$ if $r < s$.

- (9) Generally, not every point in $\mathbb{P}(\wedge^r V)$ is the image of an r -plane. The conditions for $x \in \wedge^r V$ to be of the form $x = f_1 \wedge \dots \wedge f_r$ are given by

$$(y \lrcorner x) \wedge x = 0 \quad \text{for all } y \in \bigwedge^{r-1} V^*.$$

Let $\{u_i\}$ the dual basis for V^* to the basis $\{e_i\}$ of V , then these equations can be written in coordinates. They take the form

$$\sum_{t=1}^{r+1} (-1)^t p_{i_1 \dots i_{r-1} j_t} p_{j_1 \dots \widehat{j_t} \dots j_{r+1}} = 0$$

for all sequences i_1, \dots, i_{r-1} and j_1, \dots, j_{r+1} .

The variety defined in $\mathbb{P}(\wedge^r V)$ by these equations is called the *Grassmannian*, denoted by $\text{Grass}(r, V)$ or $\text{Grass}(r, n)$ where $n = \dim(V)$.

We need to reconstruct L from $P(L)$. Suppose $p_{1\dots r} \neq 0$. If $p = (p_{i_1 \dots i_r}) = P(L)$, then L has a basis of the form

$$f_i = e_i + \sum_{k>r} a_{ik} e_k \quad \text{for } i = 1, \dots, r.$$

where $a_{ik} = (-1)^k p_{1\dots \widehat{k} \dots rk}$, where we have set $p_{1\dots r} = 1$ for convenience.

- (10) The first nontrivial case is when $r = 2$. Then

$$(u \lrcorner x) \wedge x = \frac{1}{2} (u \lrcorner (x \wedge x)) \quad \text{for } u \in V^* \text{ and } x \in \bigwedge^2 V.$$

This reduces to $u \lrcorner (x \wedge x) = 0$ for all $u \in V^*$. That is, simply

$$x \wedge x = 0.$$

- (11) When $n = 4$ we have $\dim \wedge^4 V = 1$, so this reduces to a single equation in the Plücker coordinates $p_{12}, p_{13}, p_{14}, p_{23}, p_{24}, p_{34}$:

$$p_{12}p_{34} - p_{13}p_{24} + p_{14}p_{23} = 0. \tag{1}$$

Planes $L \subset V$ in a 4-dimensional space V correspond to lines $\ell \subset \mathbb{P}(V)$ in projective 3-space. In this case, coordinates in V are denoted by x_0, x_1, x_2, x_3 and the Plücker coordinates $p_{01}, p_{02}, p_{03}, p_{12}, p_{13}, p_{23}$, and (1) takes the form

$$p_{01}p_{23} - p_{02}p_{13} + p_{03}p_{12} = 0. \tag{2}$$

This is a quadric in projective 5-space $\mathbb{P}(\wedge^2 V)$.

- (12) Determinantal varieties. Quadratic forms in n variables form a vector space V of dimension $\binom{n+1}{2} = \frac{1}{2}n(n+1)$. Quadrics in an $(n-1)$ dimensional projective space are parametrized by point of the product space $\mathbb{P}(V)$. Among these, the degenerate quadrics are characterized by $\det(f) = 0$, where f is the corresponding quadratic form. This is a hypersurface $X_1 \subset \mathbb{P}(V)$.

The quadrics of rank $\leq n-k$ correspond to points of a set X_k defined by setting all $(n-k+1) \times (n-k+1)$ minors of the matrix of f to 0. A set of this type is called a *determinantal variety*. Another type of determinantal variety M_k is defined in the space $\mathbb{P}(V)$, where V is the space of $n \times m$ matrices, by the condition that a matrix has rank $\leq k$.

- (13) A homogeneous ideal $\mathfrak{A} \subset k[S]$ defines the empty set if and only if it contains the ideal I_s for some $s > 0$.
- (14) Closed subsets of projective space are projective closed sets, just as closed subsets of affine space are affine closed sets. For $Y \subset X$ both closed sets, $X \setminus Y$ is a open set in X .
- (15) The set $\mathbb{A}_i^n = \{(\xi_0, \dots, \xi_n) \mid \xi_i \neq 0\} \subset \mathbb{P}^n$ is in one-to-one corresponding with \mathbb{A}^n and $\mathbb{P}^n = \bigcup_{i=0}^n \mathbb{A}_i^n$. The set \mathbb{A}_i^n is an affine piece of \mathbb{P}^n .
- (16) For any projective closed set $X \subset \mathbb{P}^n$, and any $i = 0, \dots, n$, the set $U_i = X \cap \mathbb{A}_i^n$ is open in X . It is a closed subset of \mathbb{A}_i^n .
- (17) If X is given by a system of homogeneous equations $F_0 = \dots = F_m = 0$ and $\deg F_j = n_j$, then, for example, U_0 is given by the system

$$S_0^{-n_j} F_j = F_j(1, T_1, \dots, T_n) = 0, \quad \text{for } j = 1, \dots, m,$$

where $T_i = S_i/S_0$ for $i = 0, \dots, n$. The U_i are the *affine pieces* of X . Obviously $X = \bigcup_i U_i$.

- (18) A closed subset $U \subset \mathbb{A}_0^n$ defines a closed projective set \overline{U} called its *projective completion*; \overline{U} is the intersection of projective closed sets containing U . If $F(T_1, \dots, T_n)$ is any polynomial in the ideal \mathfrak{A} of U of degree $\deg F = k$, then the equations of \overline{U} are of the form $S_0^k F(S_1/S_0, \dots, S_n/S_0)$. It follows that

$$U = \overline{U} \cap \mathbb{A}_0^n. \tag{3}$$

- (19) For the time being, a *quasiprojective variety* is an open subset of a closed projective set. Both projective closed sets and affine closed sets are quasiprojective varieties.

- (20) A *closed subset* of a quasiprojective variety $X \subset \mathbb{P}^n$ is its intersection with a closed set of projective space. Open set and neighborhood are defined similarly. The notion of irreducible variety and decomposition a variety into irreducible components carries over word-for-word from the case of affine sets.
- (21) From now on we use *subvariety* Y of a quasiprojective variety $X \subset \mathbb{P}^n$ to mean any subset $Y \subset X$ which is itself a quasiprojective variety in \mathbb{P}^n . This is equivalent to saying that $Y = Z \setminus Z_1$ with Z and $Z_1 \subset X$ closed subsets.

2. Regular Functions

- (1) If $X \subset \mathbb{P}^n$ is a quasiprojective variety, $x \in X$ and $f = P/Q$ is a homogenous function of degree 0 with $Q(x) \neq 0$, then f defines a function on a neighborhood of x in X with values in k . We say f is *regular* in a neighborhood of x , or simply at x . A function that is regular at all points $x \in X$ is a *regular function* on X . All regular functions on X form a ring, that we denote by $k[X]$.

For X a closed subset of affine space, this is the same definition as before.

- (2) If X is an irreducible projective set, $k[X]$ consists only of constants. [Proof later]
- (3) OTOH, if X is quasiprojective, $k[X]$ may turn out to be unexpectedly large. There are quasiprojective varieties for which $k[X]$ is not finitely generated.
- (4) A map $f : X \rightarrow Y$ between quasiprojective varieties, with $Y \subset \mathbb{P}^m$, is *regular* if for every point $x \in X$ and for some affine piece \mathbb{A}_i^m containing $f(x)$ there exists a neighborhood U containing x such that $f(U) \subset \mathbb{A}_i^m$ and the map $f : U \rightarrow \mathbb{A}_i^m$ is regular.

This is independent of the choice of affine piece \mathbb{A}_i^m .

- (5) A regular map $f : X \rightarrow Y$ defines a homomorphism $f^* : k[Y] \rightarrow k[X]$.
- (6) In practice $f(x) = (F_0(x) : \cdots : F_m(x)) \in \mathbb{P}^m$ where F_0, \dots, F_m are forms of the same degree and $F_i(x) \neq 0$ for some i .
- (7) Two different formulas

$$f(x) = (F_0(x) : \cdots : F_m(x)) \text{ and } g(x) = (G_0(x) : \cdots : G_m(x)) \quad (1)$$

define the same map if and only if

$$F_i G_j = F_j G_i \text{ on } X \quad \text{for } 0 \leq i, j \leq m. \quad (2)$$

- (8) A *regular map* $f : X \rightarrow \mathbb{P}^m$ of an irreducible quasiprojective variety X to projective space \mathbb{P}^m is given by an $(m+1)$ -tuple of forms

$$(F_0 : \cdots : F_m) \quad (3)$$

of the same degree in the homogeneous coordinates of $x \in \mathbb{P}^n$, so that $F_i(x) \neq 0$ for at least one i . Then we write $f(x) = (F_0(x) : \cdots : F_m(x))$. Two maps (1) are considered equal if (2) holds.

- (9) An *isomorphism* of varieties is a regular map having an inverse regular map.
- (10) A quasiprojective variety X' isomorphic to a closed subset of an affine space will be called an *affine variety*. The quasiprojective variety $X = \mathbb{A}^1 \setminus 0$ is an affine variety even though it is not a closed set in \mathbb{A}^1 . The set X is isomorphic to the hyperbola $xy = 1$ which is a closed set in \mathbb{A}^2 .
- (11) A quasiprojective variety isomorphic to a closed projective set will be called a *projective variety*. It is a fact that if $X \subset \mathbb{P}^n$ is a projective variety then it is closed in \mathbb{P}^n . So the notions of closed projective set and projective variety coincide and are both invariant under isomorphism.
- (12) There are quasiprojective varieties that are neither affine nor projective.
- (13) The property that a subset $Y \subset X$ is closed in a quasiprojective variety X is a local property.
- (14) Every point $x \in X$ has a neighborhood isomorphic to an affine variety.
- (15) An open set $D(f) = X \setminus V(f)$ consisting of the points of an affine variety X such that $f(x) \neq 0$ is called a **principal open set**. Also $k[D(f)] = k[X][f^{-1}]$.
- (16) Under any regular map, the inverse image $f^{-1}(Z)$ under any regular map $f : X \rightarrow Y$ of any closed subset $Z \subset Y$ is closed in X .
- (17) The inverse image of any open set under a regular map is open. That is, regular maps are continuous.
- (18) Any function φ regular in a neighborhood of $f(x) \in Y$, the function $f^*(\varphi)$ is regular in a neighborhood of x .

3. Rational Functions

- (1) Let $X \subset \mathbb{P}^n$ be an irreducible quasiprojective variety. Write \mathcal{O}_X for the set of rational functions $f = P/Q$ in homogeneous coordinates S_0, \dots, S_n such that P, Q are forms of the same degree and $Q \notin \mathfrak{A}_X$. \mathcal{O}_X is the ring of **rational functions** on X .

- (2) The functions $f \in \mathcal{O}_X$ with $P \in \mathfrak{A}_X$ is the maximal ideal M_X in \mathcal{O}_X . The quotient ring \mathcal{O}_X/M_X is the function field of X , and denoted $k(X)$.
- (3) If U is an open subset of an irreducible quasiprojective variety X , then $k(X) = k(U)$. In particular, $k(X) = k(\overline{X})$. So, in discussing function fields, we can restrict to affine or projective varieties.
- (4) If X is an affine variety, this definition agrees with the earlier one.
- (5) We say $f \in k(X)$ is **regular** at a point $x \in X$ if it can be written in the form $f = F/G$, with F and G homogeneous of the same degree and $G(x) \neq 0$. The value $f(x) = F(x)/G(x)$ is the **value** of the function at x . The set of points at which a rational function f is regular is a nonempty, open subset of X , called the **domain of definition**.
- (6) A rational function can also be defined as a function regular on some open set $U \subset X$.
- (7) A rational map $f : X \rightarrow \mathbb{P}^m$ is defined by giving $m+1$ forms $(F_0 : \cdots : F_m)$ of the same degree in the $n+1$ homogeneous coordinates of \mathbb{P}^n containing X . At least one of these forms must not vanish on X .
- (8) Two maps $(F_0 : \cdots : F_m)$ and $(G_0 : \cdots : G_m)$ are equal if $F_i G_j = F_j G_i$ on X for all i, j .
- (9) If we divide by one of the coordinate functions, we can define a rational map by $m+1$ rational functions on X , then the same notion of equality of maps.
- (10) A rational map f defined by functions $(f_0 : \cdots : f_m)$ such that all f_i are regular at $x \in X$ and not all zero at x , then f is regular at x . If defines a regular map on some neighborhood of $x \in \mathbb{P}^m$.
- (11) The set where a rational function is regular is open. We can define a rational map to be a function regular on some open set $U \subset X$ on which f is regular.
- (12) Let $Y \subset \mathbb{P}^m$ is a quasiprojective variety and $f : X \rightarrow \mathbb{P}^m$, we say $f : X \rightarrow Y$ if there is an open set $U \subset X$ on which f is regular and $f(U) \subset Y$. The union \widetilde{U} of all such open sets is the **domain of definition** of f , and $f(\widetilde{U}) \subset Y$ is the **image** of X in Y .
- (13) If the image of a rational map $f : X \rightarrow Y$ is dense, rational map defines an inclusion of fields $f^* : k(Y) \rightarrow k(X)$.
- (14) If a rational map $f : X \rightarrow Y$ has an inverse rational map then f is **birational** or is a **birational equivalence**, and X and Y are birational. In this case, $f^* : k(Y) \rightarrow k(X)$ is an isomorphism.
- (15) **Proposition:** Two irreducible varieties X and Y are birational if and only if they contain isomorphic open subsets $U \subset X$ and $V \subset Y$.

4. Examples of Regular Maps

- (1) Projection with center $E \subset \mathbb{P}^n$, $\dim(E) = d$. E given by $n - d$ linear equations $L_1 = L_2 = \dots = L_{n-d}$. Then

$$\begin{aligned}\pi : \mathbb{P}^n &\rightarrow \mathbb{P}^{n-d-1} \\ \pi(x) &= (L_1(x) : \dots : L_{n-d}(x))\end{aligned}$$

Take any $(n - d - 1)$ -dimensional linear subspace $H \subset \mathbb{P}^n$ disjoint from E . Then there is a unique $(d + 1)$ -dimensional linear subspace (E, x) passing through E and any point $x \in \mathbb{P}^n \setminus E$. This subspace intersects H in a unique point, which is $\pi(x)$.

If X intersects E but is not contained in it, then projection from E is a rational map on X .

- (2) The Veronese embedding $\nu_{n,m} : \mathbb{P}^n \rightarrow \mathbb{P}^N$ where $N = \binom{n+m}{m} - 1$.

The regular map $v_m : \mathbb{P}^n \rightarrow \mathbb{P}^N$ defined by $v_{i_0 \dots i_n} = u_0^{i_0} \dots u_n^{i_n}$ for $\sum i_j = m$. This is the m^{th} **Veronese embedding** of \mathbb{P}^n and the image is the **Veronese variety**. This is a determinantal variety cut out by quadrics.

If F is a form of degree m in the homogeneous coordinates of \mathbb{P}^n and $H \subset \mathbb{P}^n$ is the hypersurface defined by $F = 0$, then $v_m(H) \subset v_m(\mathbb{P}^n) \subset \mathbb{P}^N$ is the intersection of $v_m(\mathbb{P}^n)$ with a hyperplane of \mathbb{P}^N . The Veronese embedding allows us to reduce the study of some problems concerning hypersurfaces of degree m to the case of hyperplanes.

The m^{th} Veronese image of the projective line $v_m(\mathbb{P}^1) \subset \mathbb{P}^m$ is called the Veronese curve, the twisted m -ic curve, or the **rational normal curve** of degree m .